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\*Admitted only in Virginia  
\*Practice Limited to  
Federal Agencies

April 9, 2007

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**Art Unit 1644**  
**Attn: Mail Stop Amendment**

Re: U.S. Utility Patent Application  
Application No. 10/529,221 ; Filed: June 30, 2006  
For: **Targeted CD1d Molecules**  
Inventors: ROBERT *et al.*  
Our Ref: 1843.0200001/EKS/EJH

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Second Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97(b);
2. Form PTO/SB/08A (1 sheet) listing 4 documents (US14 and FP16-FP18);
3. Form PTO/SB/08B (1 sheet) listing 1 document (NPL92);
4. Copies of cited documents (FP16-FP18 and NPL92); and
5. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
April 9, 2007  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Elizabeth J. Haanes", is written over the printed name.

Elizabeth J. Haanes, Ph.D.

Attorney for Applicants

Registration No. 42,613

EJH/cms  
Enclosures

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ROBERT *et al.*

Appl. No.: 10/529,221

Filed: June 30, 2006

For: **Targeted CD1d Molecules**

Confirmation No.: 2116

Art Unit: 1644

Examiner: DIBRINO, Marianne N.

Atty. Docket: 1843.0200001/EKS/EJH

**Second Supplemental Information Disclosure Statement  
under 37 C.F.R. § 1.97(b)**

***Mail Stop Amendment***

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms, PTO/SB/08A and PTO/SB/08B, are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Second Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' First Supplemental Information Disclosure Statement filed on August 15, 2006 in connection with the above-captioned application.

Copies of documents **FP16** to **FP18** and **NPL92** are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), a copy of the U.S. patent publication cited on the attached Form PTO/SB/08A, document **US14**, is not submitted.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Second Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Elizabeth J. Haanes, Ph.D.

Attorney for Applicants

Registration No. 42,613

Date: April 9, 2007

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Approved for use through 03/31/2007. OMB 0651-0031

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**Complete if Known**

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|------------------------|----------------------|
| Application Number     | 10/529,221           |
| Filing Date            | June 30, 2006        |
| First Named Inventor   | ROBERT, Bruno        |
| Art Unit               | 1644                 |
| Examiner Name          | DIBRINO, Marianne N. |
| Attorney Docket Number | 1843.0200001/EKS/HCC |

## U.S. PATENT DOCUMENTS

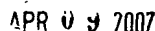
## FOREIGN PATENT DOCUMENTS

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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| Application Number     | 10/529,221           |
| Filing Date            | June 30, 2006        |
| First Named Inventor   | ROBERT, Bruno        |
| Art Unit               | 1644                 |
| Examiner Name          | DIBRINO, Marianne N. |
| Attorney Docket Number | 1843.0200001/EKS/HCC |

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| Examiner<br>Signature |  | Date<br>Considered |  |
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